

**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

25

Application Number

09/848, 639

Filing Date

May 3, 2001

First Named Inventor

Shelton E. Harrison

Art Unit

3628

Examiner Name

Pedro Kanof

Attorney Docket Number

**ENCLOSURES (Check all that apply)**

Fee Transmittal Form



Fee Attached



Amendment/Reply



After Final



Affidavits/declaration(s)



Extension of Time Request



Express Abandonment Request



Information Disclosure Statement



Certified Copy of Priority Document(s)



Response to Missing Parts/Incomplete Application



Response to Missing Parts under 37 CFR 1.52 or 1.53



Drawing(s)



Licensing-related Papers



Petition



Petition to Convert to a Provisional Application



Power of Attorney, Revocation Change of Correspondence Address



Terminal Disclaimer



Request for Refund



CD, Number of CD(s) \_\_\_\_\_



After Allowance Communication to a Technology Center (TC)



Appeal Communication to Board of Appeals and Interferences



Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)



Proprietary Information



Status Letter



Other Enclosure(s) (please identify below):

claims listing; cover letter; cert of mailing; postcard

Remarks

RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT DATED OCT. 12, 2004

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm  
or  
Individual

SHELTON E. HARRISON, JR

Signature

Date

OCT. 21, 2004

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: \_\_\_\_\_

Typed or printed

Signature

Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, Washington, DC 20231.**

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



3628  
JFW

Patent application: ELECTRONIC BOND & GUARANTY PROCESS AND  
BUSINESS METHOD

Application Number: 09/848639

Filing Date: 5/3/2001

Art Unit: 3628

Examiner: Pedro Kanof

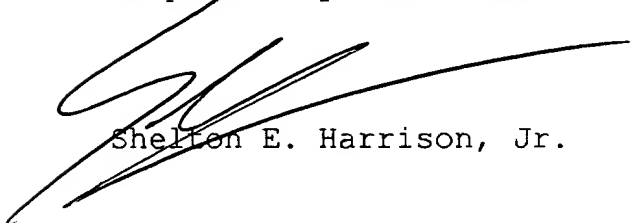
RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT

OCTOBER 20, 2004

I have received your Notice of Non-Compliant Amendment dated Oct. 12, 2004, which pertained to the preliminary amendment filed April 26, 2004. In response thereto, enclosed is a complete listing of ALL of the claims, including those that were submitted in a subsequent preliminary amendment filed August 5, 2004, with notation of the claims that are currently amended. The enclosed document therefore represents the current state of all of the claims at this time.

Please contact me if there are any defects in this submission.

Respectfully submitted,



Shelton E. Harrison, Jr.

310-500-5593

shel@epoet.com

CERTIFICATE OF MAILING

I hereby certify that the following documents are being deposited with the U. S. Postal Service as first class mail in an envelope with sufficient postage attached thereto and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

"ELECTRONIC BOND & GUARANTY PROCESS AND BUSINESS METHOD"

Inventor: Shelton E. Harrison, Jr.

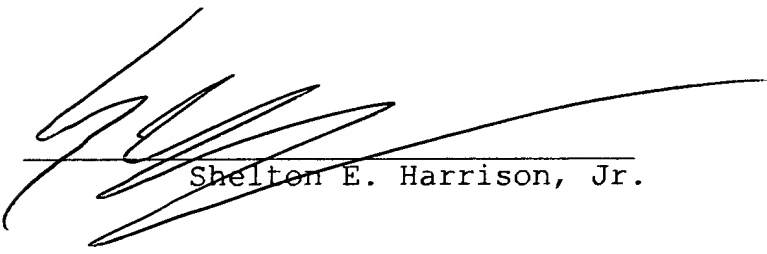
Application #: 09/848639

Filing Date: 5/3/2001

Examiner/Art Unit: Pedro Kanof/3628

Contents of the mailing:

1. Transmittal form (1 page)
2. Cover letter: Response to Notice of Non-Compliant Amendment (1 page)
3. Claims listing (22 pages)
4. Return Receipt Postcard
5. Certificate of Mailing



Shelton E. Harrison, Jr.

Oct. 21, 2004  
date